



THE UNIVERSITY *of* EDINBURGH

Edinburgh Research Explorer

Empirical Model of Optical Sensing via Spectral Shift of Circular Bragg Phenomenon

Citation for published version:

Mackay, TG & Lakhtakia, A 2010, 'Empirical Model of Optical Sensing via Spectral Shift of Circular Bragg Phenomenon', *Ieee photonics journal*, vol. 2, no. 1, pp. 92-101.
<https://doi.org/10.1109/JPHOT.2010.2042589>

Digital Object Identifier (DOI):

[10.1109/JPHOT.2010.2042589](https://doi.org/10.1109/JPHOT.2010.2042589)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Publisher's PDF, also known as Version of record

Published In:

Ieee photonics journal

Publisher Rights Statement:

©2010 IEEE

This is an Open access article.

General rights

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy

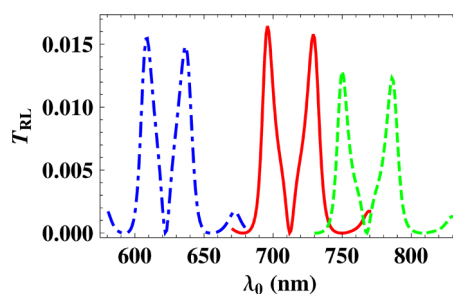
The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact openaccess@ed.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.



Empirical Model of Optical Sensing via Spectral Shift of Circular Bragg Phenomenon

Volume 2, Number 1, February 2010

Tom G. Mackay
Akhlesh Lakhtakia



DOI: 10.1109/JPHOT.2010.2042589
1943-0655/\$26.00 ©2010 IEEE

Empirical Model of Optical Sensing via Spectral Shift of Circular Bragg Phenomenon

Tom G. Mackay^{1,2} and Akhlesh Lakhtakia^{2,3}

¹School of Mathematics and Maxwell Institute for Mathematical Sciences,
University of Edinburgh, EH9 3JZ Edinburgh, U.K.

²Nanoengineered Metamaterials Group, Department of Engineering Science and Mechanics
Pennsylvania State University, University Park, PA 16802-6812 USA

³Department of Physics, Indian Institute of Technology Kanpur, Kanpur 208 016, India

DOI: 10.1109/JPHOT.2010.2042589
1943-0655/\$26.00 © 2010 IEEE

Manuscript received December 23, 2009; revised January 29, 2010. First published Online February 8, 2010. Current version published February 26, 2010. The work of T. G. Mackay was supported by a Royal Academy of Engineering/Leverhulme Trust Senior Research Fellowship. The work of A. Lakhtakia was supported in part by the Charles Godfrey Binder Endowment at Penn State. Corresponding author: T. G. Mackay (e-mail: T.Mackay@ed.ac.uk).

Abstract: Setting up an empirical model of optical sensing to exploit the circular Bragg phenomenon displayed by chiral sculptured thin films (CSTFs), we considered a CSTF with and without a central twist defect of $\pi/2$ rad. The circular Bragg phenomenon of the defect-free CSTF and the spectral hole in the copolarized reflectance spectrum of the CSTF with the twist defect were both found to be acutely sensitive to the refractive index of a fluid that infiltrates the void regions of the CSTF. These findings bode well for the deployment of CSTFs as optical sensors.

Index Terms: Engineered photonic nanostructures, optical and other properties, nanowires.

1. Introduction

By means of physical vapor deposition, an array of parallel helical nanowires—known as a chiral sculptured thin film (CSTF)—may be grown on a substrate [1], [2]. At optical wavelengths, a CSTF may be regarded as a unidirectionally nonhomogeneous continuum. CSTFs display orthorhombic symmetry locally, whereas they are structurally chiral from a global perspective [2, Ch. 9]. There are two key attributes which render CSTFs attractive for a host of applications. First, CSTFs exhibit the circular Bragg phenomenon (just as cholesteric liquid crystals do [3]). Thus, a structurally right/left-handed CSTF of sufficient thickness almost completely reflects right/left-circularly polarized (RCP/LCP) light which is normally incident, but normally incident LCP/RCP light is reflected very little, when the free-space wavelength lies within the Bragg regime. This property has led to the use of CSTFs as circular polarization [4], spectral-hole [5], and Šolc [6] filters, among other applications [7], [8]. Second, CSTFs are porous, and their multiscale porosity can be tailored to allow only species of certain shapes and sizes to infiltrate their void regions [9]. This engineered porosity, combined with the circular Bragg phenomenon, makes CSTFs attractive as platforms for light-emitting devices with precise control over the circular polarization state and the emission wavelengths [10], [11] and optical biosensors [12]–[14].

Further possibilities for a CSTF emerge if a structural twist defect is introduced. For example, if the upper half of a CSTF is twisted by $\pi/2$ rad about the axis of nonhomogeneity relative to the

lower half, then the copolarized reflectance spectrum contains a spectral hole in the middle of the Bragg regime [15], [16]. This phenomenon may be exploited for narrow-bandpass filtering [17], as well as for optical sensing of fluids which infiltrate the void regions of the CSTF [18], [19].

We devised an empirical model yielding the sensitivity of a CSTF's optical response—as a circular Bragg filter—to the refractive index of a fluid which infiltrates the CSTF's void regions, with a view to optical-sensing applications. We considered both a defect-free CSTF and a CSTF with a central $\pi/2$ -twist defect. Our model is not limited to normal incidence but also encompasses oblique incidence, and we present computed results for slightly off-normal incidence, which is a realistic situation for sensing applications. Furthermore, because the material that is deposited as the CSTF is not precisely the same as the bulk material that is evaporated, we use an inverse homogenization procedure [20] on related but uninfiltrated columnar thin films (CTFs) [21]–[23] to predict the spectral shifts due to infiltration of CSTFs. The use of the inverse homogenization procedure—to estimate nanoscale model parameters of the CTF from measured values of the uninfiltrated CTF's relative permittivity dyadic—is an advance over previous modeling efforts [17], [18], [24].

2. Empirical Model

In the following, an $\exp(-i\omega t)$ time dependence is implicit, with ω denoting the angular frequency and $i = \sqrt{-1}$. The free-space wavenumber, the free-space wavelength, and the intrinsic impedance of free space are denoted by $k_0 = \omega\sqrt{\epsilon_0\mu_0}$, $\lambda_0 = 2\pi/k_0$, and $\eta_0 = \sqrt{\mu_0/\epsilon_0}$, respectively, with μ_0 and ϵ_0 being the permeability and permittivity of free space. Vectors are in boldface, dyadics are underlined twice, column vectors are in boldface and enclosed within square brackets, and matrixes are underlined twice and square-bracketed. The Cartesian unit vectors are identified as \mathbf{u}_x , \mathbf{u}_y , and \mathbf{u}_z .

2.1. Uninfiltrated Defect-Free CSTF

Let us begin the explication of our empirical model with a defect-free CSTF with vacuous void regions, i.e., an uninfiltrated CSTF. The z direction is taken to be the direction of nonhomogeneity. The CSTF is supposed to have been grown on a planar substrate through the deposition of an evaporated bulk material [2]. The substrate, which lies parallel to the plane $z = 0$, is supposed to have been rotated about the z -axis at a uniform angular speed throughout the deposition process. The rise angle of each resulting helical nanowire, relative to the xy plane, is denoted by χ . The refractive index of the deposited material—assumed to be an isotropic dielectric material—is written as n_s , which can be different from the refractive index of the bulk material that was evaporated [25]–[27].

Each nanowire of a CSTF can be modeled as a string of highly elongated ellipsoidal inclusions, wound end to end around the z -axis to create a helix [24], [28], [29]. The surface of each ellipsoidal inclusion is characterized by the shape dyadic

$$\underline{\underline{\mathbf{u}_n\mathbf{u}_n}} + \gamma_\tau \underline{\underline{\mathbf{u}_\tau\mathbf{u}_\tau}} + \gamma_b \underline{\underline{\mathbf{u}_b\mathbf{u}_b}}, \quad (1)$$

wherein the normal, tangential, and binormal basis vectors are given as

$$\mathbf{u}_n = -\mathbf{u}_x \sin\chi + \mathbf{u}_z \cos\chi, \quad \mathbf{u}_\tau = \mathbf{u}_x \cos\chi + \mathbf{u}_z \sin\chi, \quad \mathbf{u}_b = -\mathbf{u}_y. \quad (2)$$

By choosing the shape parameters $\gamma_b \gtrsim 1$ and $\gamma_\tau \gg 1$, an aciculate shape is imposed on the inclusions. For the numerical results presented in Section 3, we fixed $\gamma_\tau = 15$ while noting that increasing γ_τ beyond 10 does not give rise to significant effects for slender inclusions [28], [29]. The helical nanowires occupy only a proportion $f \in (0, 1)$ of the total CSTF volume; the volume fraction of the CSTF not occupied by nanowires is $1 - f$.

At length scales much greater than the nanoscale, the CSTF's relative permittivity dyadic may be expressed as

$$\underline{\underline{\epsilon}}_1 = \underline{\underline{S}}_z \left(h \frac{\pi Z}{\Omega} \right) \bullet \underline{\underline{S}}_y(\chi) \bullet \underline{\underline{\epsilon}}_1^{ref} \bullet \underline{\underline{S}}_y^T(\chi) \bullet \underline{\underline{S}}_z^T \left(h \frac{\pi Z}{\Omega} \right) \quad (3)$$

where 2Ω is the structural period and the rotation dyadics

$$\left. \begin{aligned} \underline{\underline{S}}_y(\chi) &= \mathbf{u}_y\mathbf{u}_y + (\mathbf{u}_x\mathbf{u}_x + \mathbf{u}_z\mathbf{u}_z)\cos\chi + (\mathbf{u}_z\mathbf{u}_x - \mathbf{u}_x\mathbf{u}_z)\sin\chi \\ \underline{\underline{S}}_z(\sigma) &= \mathbf{u}_z\mathbf{u}_z + (\mathbf{u}_x\mathbf{u}_x + \mathbf{u}_y\mathbf{u}_y)\cos\sigma + (\mathbf{u}_y\mathbf{u}_x - \mathbf{u}_x\mathbf{u}_y)\sin\sigma \end{aligned} \right\}. \quad (4)$$

The handedness parameter $h = +1$ for a structurally right-handed CSTF, and $h = -1$ for a structurally left-handed CSTF. The reference relative permittivity dyadic $\underline{\underline{\epsilon}}_1^{ref}$ has the orthorhombic form

$$\underline{\underline{\epsilon}}_1^{ref} = \epsilon_{a1}\mathbf{u}_n\mathbf{u}_n + \epsilon_{b1}\mathbf{u}_\tau\mathbf{u}_\tau + \epsilon_{c1}\mathbf{u}_b\mathbf{u}_b. \quad (5)$$

The nanowire rise angle χ can be measured from scanning-electron-microscope imagery. In principle, the relative permittivity parameters $\{\epsilon_{a1}, \epsilon_{b1}, \epsilon_{c1}\}$ of an uninfiltated CSTF are also measurable. However, in view of the paucity of suitable experimental data on CSTFs, our empirical model relies on the measured experimental data on the related CTFs. In order to deposit both CSTFs and CTFs, the vapor flux is directed at a fixed angle χ_v with respect to the substrate plane. The different morphologies of CSTFs and CTFs are due to the rotation of the substrate for the former but not for the latter. The parameters $\{\epsilon_{a1}, \epsilon_{b1}, \epsilon_{c1}, \chi\}$ are functions of χ_v .

The nanoscale model parameters $\{n_s, f, \gamma_b\}$ are not readily determined by experimental means. However, the process of inverse homogenization can be employed to determine these parameters from a knowledge of $\{\epsilon_{a1}, \epsilon_{b1}, \epsilon_{c1}\}$, as was done for titanium-oxide CTFs in a predecessor paper [20].

2.2. Infiltrated Defect-Free CSTF

With optical-sensing applications in mind, we next consider the effect of filling the void regions of a defect-free CSTF with a fluid of refractive index n_ℓ . This brings about a change in the reference relative permittivity dyadic. The infiltrated CSTF is characterized by the relative permittivity dyadic $\underline{\underline{\epsilon}}_2$, which has the same eigenvectors as $\underline{\underline{\epsilon}}_1$ but different eigenvalues. Thus, the infiltrated CSTF is characterized by (3) and (5) but with $\underline{\underline{\epsilon}}_2$ in lieu of $\underline{\underline{\epsilon}}_1$, $\underline{\underline{\epsilon}}_2^{ref}$ in lieu of $\underline{\underline{\epsilon}}_1^{ref}$ and $\{\epsilon_{a2}, \epsilon_{b2}, \epsilon_{c2}\}$ in lieu of $\{\epsilon_{a1}, \epsilon_{b1}, \epsilon_{c1}\}$. The nanowire rise angle χ remains unchanged.

In our model, the Bruggeman homogenization formalism is applied in its usual forward sense [30] to determine $\{\epsilon_{a2}, \epsilon_{b2}, \epsilon_{c2}\}$, from knowledge of the nanoscale model parameters $\{n_s, f, \gamma_b\}$ together with $\{n_\ell, \gamma_\tau\}$, as described elsewhere [28], [29].

2.3. Boundary-Value Problem

Let us now suppose that a CSTF occupies the region $0 \leq z \leq L$, with the half-spaces $z < 0$ and $z > L$ being vacuum. An arbitrarily polarized plane wave is incident on the CSTF from the half-space $z < 0$. Its wave vector lies in the xz plane, making an angle $\theta \in [0, \pi/2]$ relative to the $+z$ -axis. As a result, there is a reflected plane wave in the half-space $z < 0$ and a transmitted plane wave in the half-space $z > L$. Thus, the total electric field phasor in the half-space $z < 0$ may be expressed as

$$\mathbf{E}(\mathbf{r}) = \left[a_L \frac{i\mathbf{u}_y - \mathbf{p}_+}{\sqrt{2}} - a_R \frac{i\mathbf{u}_y + \mathbf{p}_+}{\sqrt{2}} \right] \exp(i\kappa x) \exp(ik_0 z \cos\theta) - \left[r_L \frac{i\mathbf{u}_y - \mathbf{p}_-}{\sqrt{2}} - r_R \frac{i\mathbf{u}_y + \mathbf{p}_-}{\sqrt{2}} \right] \exp(i\kappa x) \exp(-ik_0 z \cos\theta), \quad z < 0 \quad (6)$$

while that in the half-space $z > L$ may be expressed as

$$\mathbf{E}(\mathbf{r}) = \left[t_L \frac{i\mathbf{u}_y - \mathbf{p}_+}{\sqrt{2}} - t_R \frac{i\mathbf{u}_y + \mathbf{p}_+}{\sqrt{2}} \right] \exp(i\kappa x) \exp[ik_0(z - L) \cos\theta], \quad z > L \quad (7)$$

wherein $\mathbf{p}_\pm = \mp \mathbf{u}_x \cos\theta + \mathbf{u}_z \sin\theta$ and $\kappa = k_0 \sin\theta$.

Our aim is to determine the unknown amplitudes r_L and r_R of the LCP and RCP components of the reflected plane wave and the unknown amplitudes t_L and t_R of the LCP and RCP components of

the transmitted plane wave from the known amplitudes a_L and a_R of the LCP and RCP components of the incident plane wave. As is comprehensively described elsewhere [2], this is achieved by solving the 4×4 matrix/4-vector relation

$$[\mathbf{f}^{exit}] = [\underline{\underline{M}}(L)] \bullet [\mathbf{f}^{entry}]. \quad (8)$$

Here, the column 4-vectors

$$[\mathbf{f}^{entry}] = \frac{1}{\sqrt{2}} \begin{pmatrix} (r_L + rR) + (a_L + a_R) \\ i[-(r_L - rR) + (a_L - a_R)] \\ -i[(r_L - rR) + (a_L - a_R)]/\eta_0 \\ -[(r_L + rR) - (a_L + a_R)]/\eta_0 \end{pmatrix}, \quad [\mathbf{f}^{exit}] = \frac{1}{\sqrt{2}} \begin{pmatrix} t_L + tR \\ i(t_L - tR) \\ -i(t_L - tR)/\eta_0 \\ (t_L + tR)/\eta_0 \end{pmatrix} \quad (9)$$

arise from the field phasors at $z = 0$ and $z = L$, respectively. The optical response characteristics of the CSTF are encapsulated by the 4×4 transfer matrix $[\underline{\underline{M}}(L)]$, which is conveniently expressed as [31]

$$[\underline{\underline{M}}(L)] = [\underline{\underline{B}}(h\frac{\pi L}{\Omega})] \bullet [\underline{\underline{M}}'(L)] \quad (10)$$

wherein the 4×4 matrix

$$[\underline{\underline{B}}(\sigma)] = \begin{pmatrix} \cos\sigma & -\sin\sigma & 0 & 0 \\ \sin\sigma & \cos\sigma & 0 & 0 \\ 0 & 0 & \cos\sigma & -\sin\sigma \\ 0 & 0 & \sin\sigma & \cos\sigma \end{pmatrix}. \quad (11)$$

The 4×4 matrizant $[\underline{\underline{M}}'(z)]$ satisfies the ordinary differential equation

$$\frac{d}{dz} [\underline{\underline{M}}'(z)] = i [\underline{\underline{P}}'(z)] \bullet [\underline{\underline{M}}'(z)], \quad (12)$$

subject to the boundary condition $[\underline{\underline{M}}'(0)] = [\underline{\underline{I}}]$, with $[\underline{\underline{I}}]$ being the identity 4×4 matrix. The 4×4 matrix [31]

$$[\underline{\underline{P}}'(z)] = \begin{bmatrix} 0 & -ih\frac{\pi}{\Omega} & 0 & \omega\mu_0 \\ ih\frac{\pi}{\Omega} & 0 & -\omega\mu_0 & 0 \\ 0 & -\omega\epsilon_0\epsilon_c & 0 & -ih\frac{\pi}{\Omega} \\ \frac{\omega\epsilon_0\epsilon_b}{\tau} & 0 & ih\frac{\pi}{\Omega} & 0 \end{bmatrix} + \begin{bmatrix} -\frac{\kappa(\epsilon_b - \epsilon_a)}{2\epsilon_a\tau} \cos\xi \sin 2\chi & 0 & -\frac{\kappa^2}{\omega\epsilon_0\epsilon_a\tau} \sin\xi \cos\xi & -\frac{\kappa^2}{\omega\epsilon_0\epsilon_a\tau} \cos^2\xi \\ \frac{\kappa(\epsilon_b - \epsilon_a)}{2\epsilon_a\tau} \sin\xi \sin 2\chi & 0 & \frac{\kappa^2}{\omega\epsilon_0\epsilon_a\tau} \sin^2\xi & \frac{\kappa^2}{\omega\epsilon_0\epsilon_a\tau} \sin\xi \cos\xi \\ \frac{\kappa^2}{\omega\mu_0} \sin\xi \cos\xi & \frac{\kappa^2}{\omega\mu_0} \cos^2\xi & 0 & 0 \\ -\frac{\kappa^2}{\omega\mu_0} \sin^2\xi & -\frac{\kappa^2}{\omega\mu_0} \sin\xi \cos\xi & -\frac{\kappa(\epsilon_b - \epsilon_a)}{2\epsilon_a\tau} \sin\xi \sin 2\chi & -\frac{\kappa(\epsilon_b - \epsilon_a)}{2\epsilon_a\tau} \cos\xi \sin 2\chi \end{bmatrix} \quad (13)$$

containing $\xi = h\pi z/\Omega$ and $\tau = \cos^2\chi + (\epsilon_b/\epsilon_a)\sin^2\chi$ depends on whether the CSTF is uninfiltred or infiltred. Equation (12) can be solved for $[\underline{\underline{M}}'(z)]$ by numerical means, most conveniently using a piecewise uniform approximation [2]. For full details of the solution to the boundary-value problem, see [2] and [32].

Once $[\underline{\underline{M}}'(L)]$ is determined, the reflection amplitudes $r_{L,R}$ and transmission amplitudes $t_{L,R}$ can be obtained from (8), for specified incident amplitudes $a_{L,R}$. Following the standard convention, we

introduce the reflection coefficients $r_{LL,LR,RL,RR}$ and transmission coefficients $t_{LL,LR,RL,RR}$ per

$$\begin{pmatrix} r_L \\ r_R \end{pmatrix} = \begin{pmatrix} r_{LL} & r_{LR} \\ r_{RL} & r_{RR} \end{pmatrix} \begin{pmatrix} a_L \\ a_R \end{pmatrix}, \quad \begin{pmatrix} t_L \\ t_R \end{pmatrix} = \begin{pmatrix} t_{LL} & t_{LR} \\ t_{RL} & t_{RR} \end{pmatrix} \begin{pmatrix} a_L \\ a_R \end{pmatrix}. \quad (14)$$

The square magnitude of a reflection or transmission coefficient yields the corresponding reflectance or transmittance, i.e., $R_{\alpha\beta} = |r_{\alpha\beta}|^2$ and $T_{\alpha\beta} = |t_{\alpha\beta}|^2$, where $\alpha, \beta \in \{L, R\}$.

2.4. CSTF With Central $\pi/2$ -Twist Defect

As mentioned in Section 1, the introduction of a central twist defect leads to a narrowband feature that can be very useful for sensing applications. Therefore, we further consider the CSTF of finite thickness introduced in Section 2.3 but, here, with the upper half $z \in [L/2, L]$ of the CSTF twisted about the z -axis by an angle of $\pi/2$ rad with respect to the lower half $z \in [0, L/2]$.

Mathematically, the central $\pi/2$ -twist defect is accommodated as follows: The relative permittivity dyadic of the centrally twisted uninfiltated CSTF is per (3) but with the rotation dyadic $\underline{\underline{S}}_z[h(\pi z/\Omega)]$ therein replaced by $\underline{\underline{S}}_z\{h[\psi(z) + \pi z/\Omega]\}$, where

$$\psi(z) = \begin{cases} 0, & 0 \leq z < L/2 \\ \pi/2, & L/2 \leq z \leq L. \end{cases} \quad (15)$$

The relative permittivity dyadic of the centrally twisted and infiltrated CSTF follows from the corresponding dyadic for the centrally twisted and uninfiltated CSTF, in exactly the same way as is the case when the CSTF is defect-free. The calculation of the reflectances and transmittances follows the same path as is described in Section 2.3, with the exception that (10) therein is replaced by

$$[\underline{\underline{M}}(L)] = \left[\underline{\underline{B}} \left(h \frac{\pi L}{2\Omega} + \frac{\pi}{2} \right) \right] \cdot [\underline{\underline{M}}'(L/2)] \cdot \left[\underline{\underline{B}} \left(h \frac{\pi L}{2\Omega} - \frac{\pi}{2} \right) \right] \cdot [\underline{\underline{M}}'(L/2)]. \quad (16)$$

3. Numerical Results

In order to illustrate the empirical model, we chose a CSTF of thickness $L = 40 \Omega$, where the structural half-period $\Omega = 185$ nm. The chosen relative permittivity parameters, namely

$$\left. \begin{aligned} \epsilon_{a1} &= \left[1.0443 + 2.7394 \left(\frac{2\chi_v}{\pi} \right) - 1.3697 \left(\frac{2\chi_v}{\pi} \right)^2 \right]^2 \\ \epsilon_{b1} &= \left[1.6765 + 1.5649 \left(\frac{2\chi_v}{\pi} \right) - 0.7825 \left(\frac{2\chi_v}{\pi} \right)^2 \right]^2 \\ \epsilon_{c1} &= \left[1.3586 + 2.1109 \left(\frac{2\chi_v}{\pi} \right) - 1.0554 \left(\frac{2\chi_v}{\pi} \right)^2 \right]^2 \end{aligned} \right\} \quad (17)$$

with

$$\chi = \tan^{-1}(2.8818 \tan \chi_v) \quad (18)$$

emerged from data measured for a CTF made by evaporating patinal titanium oxide [21], [22]. These relations—which came from measurements at $\lambda_0 = 633$ nm—were presumed to be constant over the range of wavelengths considered here. Values for the corresponding nanoscale model parameters $\{n_s, f, \gamma_b\}$, as computed using the inverse Bruggeman homogenization formalism [20], are provided in Table 1 for the vapor flux angles $\chi_v = 15^\circ, 30^\circ$, and 60° . Furthermore, we set $h = +1$. The angle of incidence θ was fixed at 10° .

Computed reflectances and transmittances are plotted versus λ_0 in Fig. 1 for the defect-free CSTF for which we set $\chi_v = 15^\circ$. Further computations (not presented here) using other values of χ_v revealed qualitatively similar graphs of reflectances and transmittances versus λ_0 . The effects of

TABLE 1

Nanoscale model parameters γ_b , f , and n_s for $\chi_v = 15^\circ, 30^\circ$, and 60°

χ_v	γ_b	f	n_s
15°	2.2793	0.3614	3.2510
30°	1.8381	0.5039	3.0517
60°	1.4054	0.6956	2.9105

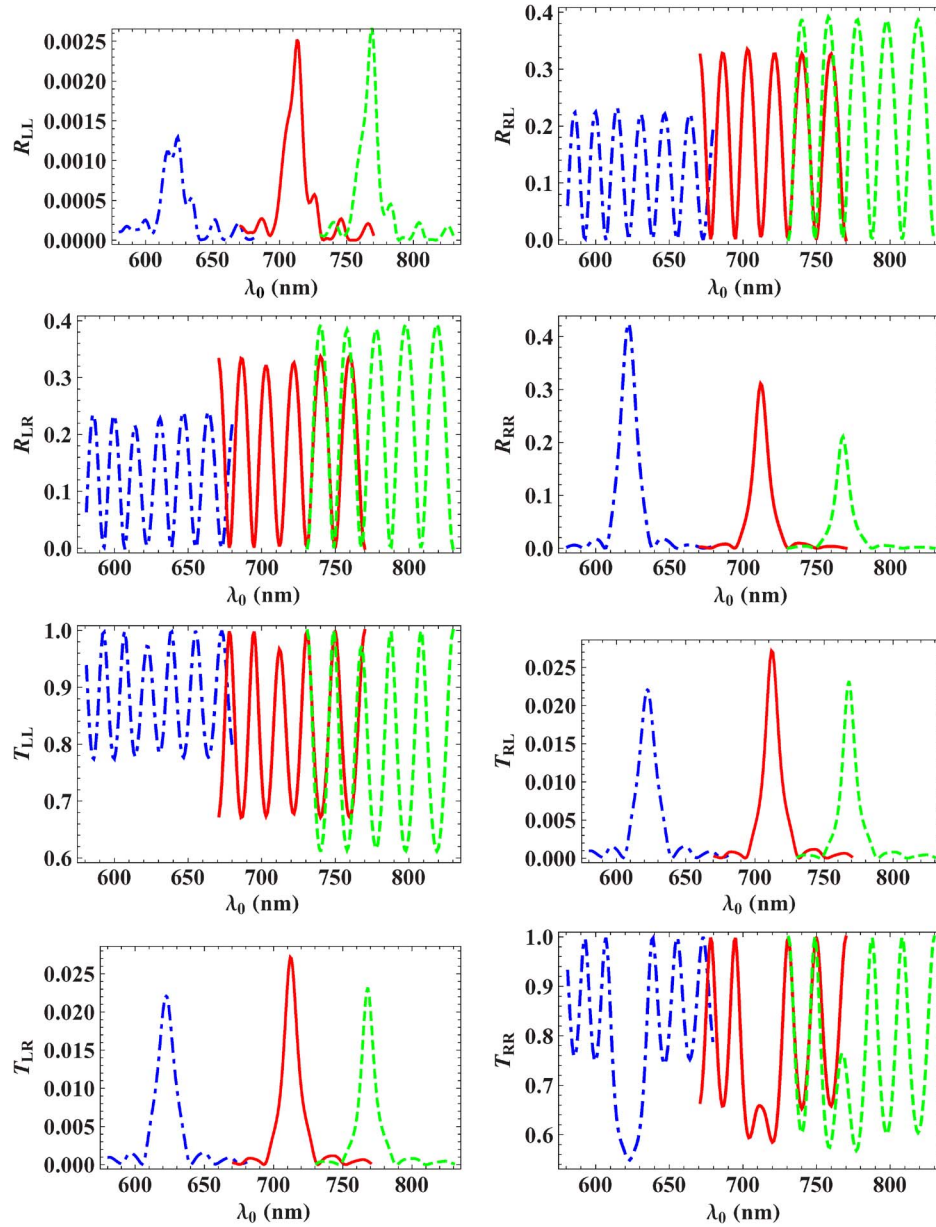


Fig. 1. Reflectances and transmittances plotted against the free-space wavelength for a defect-free titanium-oxide CSTF; $L = 40 \text{ nm}$, $\Omega = 185 \text{ nm}$, $h = +1$, $\chi_v = 15^\circ$, and $\theta = 10^\circ$. The CSTF is infiltrated with a fluid of refractive index $n_f = 1.0$ (blue broken-dashed curves), 1.3 (red solid curves), and 1.5 (green dashed curves).

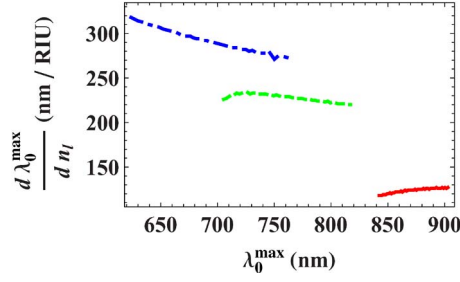


Fig. 2. Spectral-shift sensitivity $d\lambda_0^{\max}/dn_\ell$ plotted against λ_0^{\max} for $n_\ell \in (1, 1.5)$. The vapor flux angle $\chi_v = 15^\circ$ (blue broken-dashed curve), 30° (green dashed curve), and 60° (red solid curve).

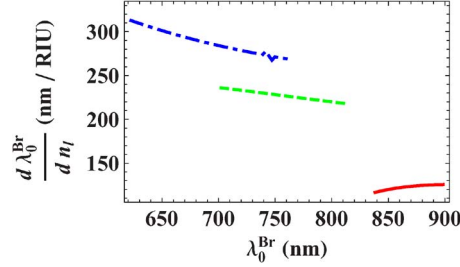


Fig. 3. Spectral-shift sensitivity as estimated by $d\lambda_0^{\text{Br}}/dn_\ell$ plotted against λ_0^{Br} for $n_\ell \in (1, 1.5)$. The vapor flux angle $\chi_v = 15^\circ$ (blue broken-dashed curve), 30° (green dashed curve), and 60° (red solid curve).

three values of n_ℓ —namely, $n_\ell = 1, 1.3$, and 1.5 —are represented in Fig. 1. The circular Bragg phenomenon is most obviously appreciated as a sharp local maximum in the graphs of R_{RR} , with attendant features occurring in the graphs of some other reflectances and transmittances. If λ_0^{\max} denotes the free-space wavelength corresponding to this local maximum, from Fig. 1 we found that $\lambda_0^{\max} \approx 622$ nm for $n_\ell = 1$, $\lambda_0^{\max} \approx 712$ nm for $n_\ell = 1.3$, and $\lambda_0^{\max} \approx 768$ nm for $n_\ell = 1.5$.

Clearly, the circular Bragg phenomenon undergoes a substantial spectral shift as n_ℓ increases from unity. In order to elucidate this matter further, we focused on the spectral-shift sensitivity $d\lambda_0^{\max}/dn_\ell$. Graphs of $d\lambda_0^{\max}/dn_\ell$ against λ_0^{\max} , computed for the range $1 < n_\ell < 1.5$, are presented in Fig. 2. In addition to results for the vapor flux angle $\chi_v = 15^\circ$, results are also plotted in Fig. 2 for $\chi_v = 30^\circ$ and $\chi_v = 60^\circ$. For all vapor flux angles considered and all values of $n_\ell \in (1, 1.5)$, the spectral-shift sensitivity $d\lambda_0^{\max}/dn_\ell$ is positive-valued and greater than 118 nm per refractive index unit (RIU). When $\chi_v = 15^\circ$, $d\lambda_0^{\max}/dn_\ell$ generally decreases as λ_0^{\max} increases. A similar trend is exhibited for $\chi_v = 30^\circ$, but $d\lambda_0^{\max}/dn_\ell$ generally increases as λ_0^{\max} increases for $\chi_v = 60^\circ$.

The center wavelength of the circular Bragg regime has been estimated as [33]

$$\lambda_0^{\text{Br}} \approx \Omega \left(\sqrt{\epsilon_{c2}} + \sqrt{\frac{\epsilon_{a2}\epsilon_{b2}}{\epsilon_{a2}\cos^2\chi + \epsilon_{b2}\sin^2\chi}} \right) \sqrt{\cos\theta}. \quad (19)$$

The graphs of $d\lambda_0^{\text{Br}}/dn_\ell$ versus λ_0^{Br} , as provided in Fig. 3 for the vapor flux angles $\chi_v = 15^\circ, 30^\circ$, and 60° , are remarkably similar (but not identical) to the graphs of $d\lambda_0^{\max}/dn_\ell$ versus λ_0^{\max} displayed in Fig. 2. Thus, the center-wavelength formula (19) can yield a convenient estimate of the spectral-shift sensitivity, without having to solve the reflection-transmission problem.

We turn now to the CSTF with a central twist defect of $\pi/2$ rad, as described in Section 2.4. Graphs of the reflectances and transmittances versus λ_0 for $\chi_v = 15^\circ$ are provided in Fig. 4. As we remarked for the defect-free CSTF, graphs (not presented here) which are qualitatively similar to those presented in Fig. 4 were obtained when other values of the vapor flux angle χ_v were considered. The graphs of Fig. 4 are substantially different to those of Fig. 1: The local maximums in the graphs of R_{RR} in Fig. 1 have been replaced by sharp local minimums in Fig. 4. These local

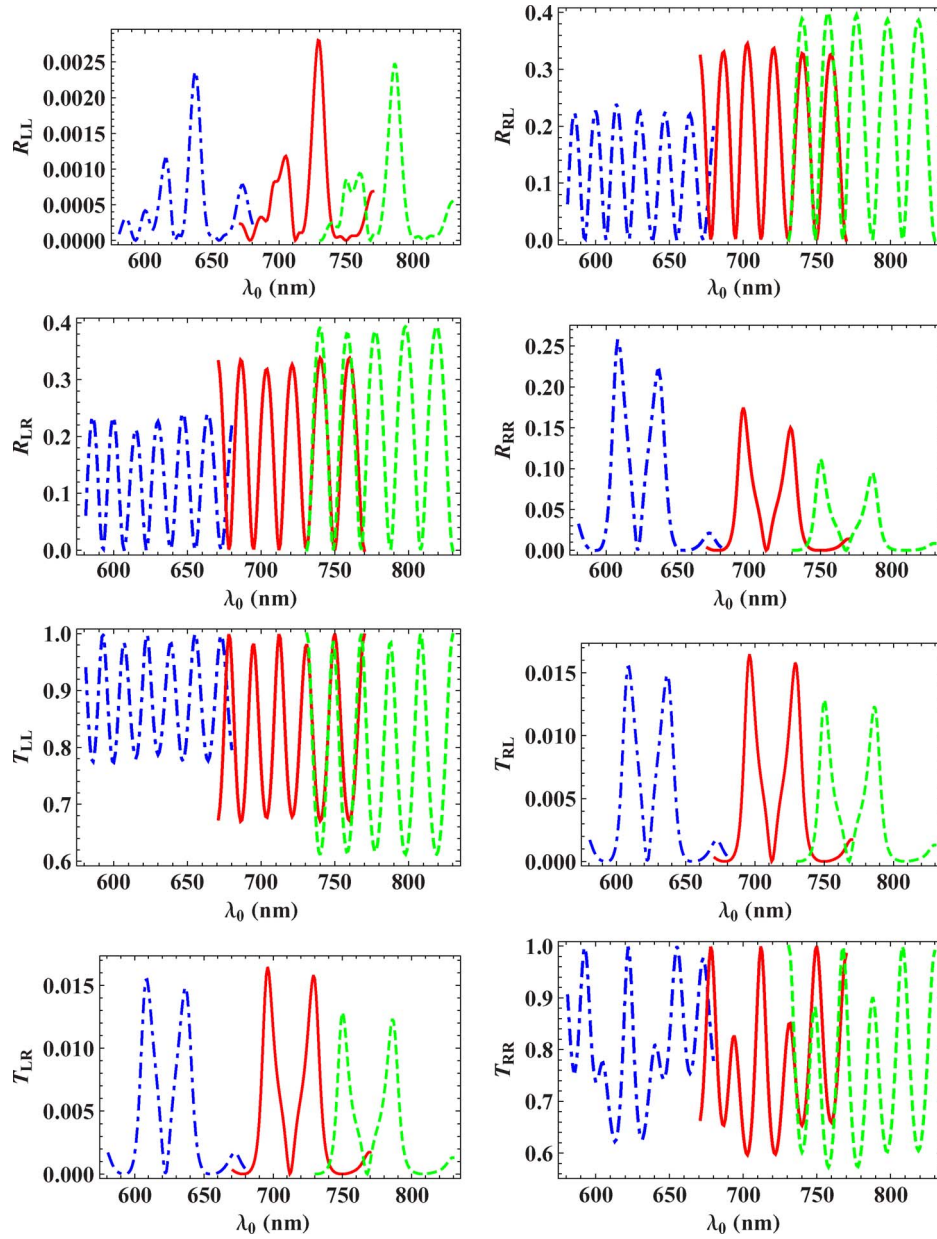


Fig. 4. As Fig. 1 except that the upper half $z \in [L/2, L]$ of the CSTF is twisted about the z -axis by $\pi/2$ radians with respect to the lower half $z \in [0, L/2]$.

minimums—which represent an ultranarrowband spectral hole—arise at the free-space wavelengths λ_0^{\min} that are approximately the same as the corresponding local maximums λ_0^{\max} in Fig. 1.

The location of the spectral hole on the λ_0 axis is highly sensitive to n_ℓ . In a similar manner to before, we explore this matter by computing the spectral-shift sensitivity $d\lambda_0^{\min}/dn_\ell$ at each value of n_ℓ . In Fig. 5, $d\lambda_0^{\min}/dn_\ell$ is plotted against λ_0^{\min} , with the spectral-shift sensitivity computed for the range $1 < n_\ell < 1.5$ and with $\chi_v = 15^\circ, 30^\circ$, and 60° . The plots of $d\lambda_0^{\min}/dn_\ell$ versus λ_0^{\min} in Fig. 5 are both qualitatively and quantitatively similar to those of $d\lambda_0^{\max}/dn_\ell$ versus λ_0^{\max} in Fig. 2. That is, positive-valued $d\lambda_0^{\min}/dn_\ell$ generally decreases as λ_0^{\min} increases for $\chi_v = 15^\circ$ and 30° and generally increases as λ_0^{\min} increases for $\chi_v = 60^\circ$. A similar correspondence exists with Fig. 3.

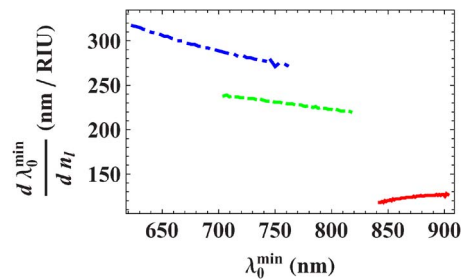


Fig. 5. Spectral-shift sensitivity $d\lambda_0^{\min}/dn_e$ plotted against λ_0^{\min} for $n_e \in (1, 1.5)$. The vapor flux angle $\chi_v = 15^\circ$ (blue broken-dashed curve), 30° (green dashed curve), and 60° (red solid curve).

4. Closing Remarks

Our empirical model has demonstrated that the circular Bragg phenomenon associated with a defect-free CSTF, and the ultranarrowband spectral hole displayed by a CSTF with a central $\pi/2$ -twist defect, both undergo substantially large spectral shifts due to infiltration by a fluid. Although, owing to lack of availability of experimental data on the spectral variation of the relative permittivity dyadics of CSTFs, we did not consider wavelength dispersion in the dielectric properties of the material used to deposit a CSTF, the promise of CSTFs—with or without a structural twist—to act as platforms for optical sensing was clearly highlighted. Experimental validation of the empirical model is planned.

References

- [1] A. Lakhtakia, R. Messier, M. J. Brett, and K. Robbie, "Sculptured thin films (STFs) for optical, chemical and biological applications," *Innov. Mater. Res.*, vol. 1, no. 2, pp. 165–176, Jul. 1996.
- [2] A. Lakhtakia and R. Messier, *Sculptured Thin Films: Nanoengineered Morphology and Optics*. Bellingham, WA: SPIE, 2005.
- [3] P. G. de Gennes and J. Prost, *The Physics of Liquid Crystals*. New York: Oxford Univ. Press, 1993.
- [4] Q. Wu, I. J. Hodgkinson, and A. Lakhtakia, "Circular polarization filters made of chiral sculptured thin films: Experimental and simulation results," *Opt. Eng.*, vol. 39, no. 7, pp. 1863–1868, Jul. 2000.
- [5] I. J. Hodgkinson, Q. H. Wu, A. Lakhtakia, and M. W. McCall, "Spectral-hole filter fabricated using sculptured thin-film technology," *Opt. Commun.*, vol. 177, no. 1–6, pp. 79–84, Apr. 2000.
- [6] E. Ertekin and A. Lakhtakia, "Sculptured thin film solc filters for optical sensing of gas concentration," *Eur. Phys. J. Appl. Phys.*, vol. 5, no. 1, pp. 45–50, Jan. 1999.
- [7] J. A. Polo, Jr., "Sculptured thin films," in *Micromanufacturing and Nanotechnology*, N. P. Mahalik, Ed. Heidelberg, Germany: Springer-Verlag, 2005, pp. 357–381.
- [8] A. Lakhtakia, M. C. Demirel, M. W. Horn, and J. Xu, "Six emerging directions in sculptured-thin-film research," *Adv. Solid State Phys.*, vol. 46, pp. 295–307, 2007.
- [9] R. Messier, V. C. Venugopal, and P. D. Sunal, "Origin and evolution of sculptured thin films," *J. Vac. Sci. Technol. A, Vac. Surf. Films*, vol. 18, no. 4, pp. 1538–1545, Jul. 2000.
- [10] J. Xu, A. Lakhtakia, J. Liou, A. Chen, and I. J. Hodgkinson, "Circularly polarized fluorescence from light-emitting microcavities with sculptured-thin-film chiral reflectors," *Opt. Commun.*, vol. 264, no. 1, pp. 235–239, Aug. 2006.
- [11] F. Zhang, J. Xu, A. Lakhtakia, S. M. Pursel, and M. W. Horn, "Circularly polarized emission from colloidal nanocrystal quantum dots confined in microcavities formed by chiral mirrors," *Appl. Phys. Lett.*, vol. 91, no. 2, p. 023102, Jul. 2007 [Interchange the labels LCP and RCP in Fig. 2c of this paper].
- [12] A. Lakhtakia, "On bioluminescent emission from chiral sculptured thin films," *Opt. Commun.*, vol. 188, no. 5/6, pp. 313–320, Feb. 2001.
- [13] T. G. Mackay and A. Lakhtakia, "Theory of light emission from a dipole source embedded in a chiral sculptured thin film," *Opt. Express*, vol. 15, no. 22, pp. 14 689–14 703, Oct. 2007.
- [14] T. G. Mackay and A. Lakhtakia, "Theory of light emission from a dipole source embedded in a chiral sculptured thin film: Erratum," *Opt. Express*, vol. 16, no. 6, p. 3659, Mar. 2008.
- [15] Y.-C. Yang, C.-S. Kee, J.-E. Kim, and H. Y. Park, "Photonic defect modes of cholesteric liquid crystals," *Phys. Rev. E, Stat. Phys. Plasmas Fluids Relat. Interdiscip. Top.*, vol. 60, no. 6, pp. 6852–6854, Dec. 1999.
- [16] A. Lakhtakia and M. McCall, "Sculptured thin films as ultranarrow-bandpass circular-polarization filters," *Opt. Commun.*, vol. 168, no. 5/6, pp. 457–465, Sep. 1999.
- [17] I. J. Hodgkinson, Q. H. Wu, K. E. Thorn, A. Lakhtakia, and M. W. McCall, "Spacerless circular-polarization spectral-hole filters using chiral sculptured thin films: Theory and experiment," *Opt. Commun.*, vol. 184, no. 1–4, pp. 57–66, Oct. 2000.
- [18] A. Lakhtakia, M. W. McCall, J. A. Sherwin, Q. H. Wu, and I. J. Hodgkinson, "Sculptured-thin-film spectral holes for optical sensing of fluids," *Opt. Commun.*, vol. 194, no. 1–3, pp. 33–46, Jul. 2001.
- [19] S. M. Pursel and M. W. Horn, "Prospects for nanowire sculptured-thin-film devices," *J. Vac. Sci. Technol. B, Microelectron. Process. Phenom.*, vol. 25, no. 6, pp. 2611–2615, Nov. 2007.

- [20] T. G. Mackay and A. Lakhtakia, "Determination of constitutive and morphological parameters of columnar thin films by inverse homogenization," *J. Nanophoton.*, vol. 4, p. 041535, 2010.
- [21] I. Hodgkinson, Q. H. Wu, and J. Hazel, "Empirical equations for the principal refractive indices and column angle of obliquely deposited films of tantalum oxide, titanium oxide, and zirconium oxide," *Appl. Opt.*, vol. 37, no. 13, pp. 2653–2659, May 1998.
- [22] F. Chiadini and A. Lakhtakia, "Extension of Hodgkinson's model for optical characterization of columnar thin films," *Microw. Opt. Technol. Lett.*, vol. 42, no. 1, pp. 72–73, Jul. 2004.
- [23] F. Chiadini and A. Lakhtakia, "Design of wideband circular-polarization filters made of chiral sculptured thin films," *Microw. Opt. Technol. Lett.*, vol. 42, no. 2, pp. 135–138, Jul. 2004.
- [24] J. A. Sherwin, A. Lakhtakia, and I. J. Hodgkinson, "On calibration of a nominal structure-property relationship model for chiral sculptured thin films by axial transmittance measurements," *Opt. Commun.*, vol. 209, no. 4–6, pp. 369–375, Aug. 2002.
- [25] R. Messier, T. Takamori, and R. Roy, "Structure-composition variation in rf-sputtered films of Ge caused by process parameter changes," *J. Vac. Sci. Technol.*, vol. 13, no. 5, pp. 1060–1065, Sep. 1976.
- [26] J. R. Blanco, P. J. McMarr, J. E. Yehoda, K. Vedam, and R. Messier, "Density of amorphous germanium films by spectroscopic ellipsometry," *J. Vac. Sci. Technol. A, Vac. Surf. Films*, vol. 4, no. 3, pp. 577–582, May 1986.
- [27] F. Walbel, E. Ritter, and R. Linsbod, "Properties of TiO_x films prepared by electron-beam evaporation of titanium and titanium suboxides," *Appl. Opt.*, vol. 42, no. 22, pp. 4590–4593, Aug. 2003.
- [28] A. Lakhtakia, "Enhancement of optical activity of chiral sculptured thin films by suitable infiltration of void regions," *Optik*, vol. 112, no. 4, pp. 145–148, 2001.
- [29] A. Lakhtakia, "Enhancement of optical activity of chiral sculptured thin films by suitable infiltration of void regions," *Optik*, vol. 112, no. 11, p. 544, 2001, Erratum.
- [30] T. G. Mackay and A. Lakhtakia, *Electromagnetic Anisotropy and Bianisotropy: A Field Guide*. Singapore: World Scientific, 2010.
- [31] A. Lakhtakia, V. C. Venugopal, and M. W. McCall, "Spectral holes in Bragg reflection from chiral sculptured thin films: Circular polarization filter," *Opt. Commun.*, vol. 177, no. 1–6, pp. 57–68, Apr. 2000.
- [32] V. C. Venugopal and A. Lakhtakia, "Electromagnetic plane-wave response characteristics of non-axially excited slabs of dielectric thin-film helicoidal bianisotropic mediums," *Proc. R. Soc. Lond. A, Math. Phys. Sci.*, vol. 456, no. 1–6, pp. 125–161, Apr. 2000.
- [33] V. C. Venugopal and A. Lakhtakia, "On absorption by non-axially excited slabs of dielectric thin-film helicoidal bianisotropic mediums," *Eur. Phys. J. Appl. Phys.*, vol. 10, no. 3, pp. 173–184, Jun. 2000.